

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53275, 53276,  
: 53333, 53428, 53439, 53442  
:  
:  
: X  
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**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 15, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated August 15, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
17<sup>th</sup> day of August, 2016

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179

Qualified in the County of Queens  
Commission Expires April 12, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000109650887 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 22286



BARDELLA, DANIELE  
ORRICK, HERRINGTON & SUTCLIFFE LLP  
ATTN: ALYSSA D. ENGLUND, ESQ.  
666 FIFTH AVENUE  
NEW YORK, NY 10103

BARDELLA, DANIELE  
TRANSFEROR: ICCREA BANCA S.P.A.  
VIA ZAGARELLI ALLE MURA 72  
48121 RAVENNA RA  
ITALY

Please note that your claim # 5158221-18 in the above referenced case and in the amount of  
\$70,755.00 has been transferred (unless previously expunged by court order)

ICCREA BANCA S.P.A.  
ATTN: C.A. LEGAL DEPARTMENT, ANTONIO TORRE & CLAUDIA PRIVITERA  
VIA LUCREZIA ROMANA 41/47  
ROMA 00178  
ITALY

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/15/2016

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 15, 2016.

## **EXHIBIT B**

| Claim Name                      | Address Information   |
|---------------------------------|---|
| BANQUE PRIVEE ESPIRITO SANTO SA | TRANSFEROR: HYPOSWISS PRIVATBANK AG, AV. GENERAL GUISAN 70A, CASE POSTALE 107, PULLY 1009 SWITZERLAND   |
| BARDELLA, DANIELE               | TRANSFEROR: ICCREA BANCA S.P.A., VIA ZAGARELLI ALLE MURA 72, 48121 RAVENNA RA ITALY   |
| BKM HOLDINGS (CAYMAN) LTD.      | TRANSFEROR: MERRILL,LYNCH,PIERCE,FENNER & SMITH INC., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022 |
| BKM HOLDINGS (CAYMAN) LTD.      | TRANSFEROR: MERRILL,LYNCH,PIERCE,FENNER & SMITH INC., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022 |
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| Claim Name                               | Address Information   |
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| CBH COMPANIE BANCAIRE HELVETIQUE         | TRANSFEROR: BANQUE PRIVEE ESPIRITO SANTO SA, COMPAGNIE BANCAIRE HELETIQUE, BLVD, EMILE-JACQUES DALCROZE 7, GENEVA 1204 SWITZERLAND  |
| DEUTSCHE BANK AG, LONDON BRANCH (UK)     | TRANSFEROR: LEHMAN BROTHERS BANKHAUS AG (IN INSOLVENZ), ATTN: MICHAEL SUTTON/PHILLIP ROEVER, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM      |
| ICCREA BANCA S.P.A.                      | ATTN: C.A. LEGAL DEPARTMENT, ANTONIO TORRE & CLAUDIA PRIVITERA, VIA LUCREZIA ROMANA 41/47, ROMA 00178 ITALY   |
| ICCREA BANCA S.P.A.                      | ORRICK, HERRINGTON & SUTCLIFFE LLP, ATTN: ALYSSA D. ENGLUND, ESQ., 666 FIFTH AVENUE, NEW YORK, NY 10103   |
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| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A., ATTN: CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: MR CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: MR CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A., ATTN: CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: MR CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| ILLIQUIDX SECURITIES LIMITED             | TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: MR CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM  |
| MERRILL,LYNCH,PIERCE,FENNER & SMITH INC. | TRANSFEROR: CREDIT SUISSE INTERNATIONAL, ATTN: GREGORY W. PONDER, 214 NORTH TRYON STREET, 15TH FLOOR, CHARLOTTE, NC 28255   |
| MERRILL,LYNCH,PIERCE,FENNER & SMITH INC. | TRANSFEROR: CREDIT SUISSE INTERNATIONAL, ATTN: GREGORY W. PONDER, 214 NORTH TRYON STREET, 15TH FLOOR, CHARLOTTE, NC 28255   |
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| Claim Name                                      | Address Information   |
|---|---|
| INC.  | TRYON STREET, 15TH FLOOR, CHARLOTTE, NC 28255   |
| MERRILL, LYNCH, PIERCE, FENNER & SMITH INC.     | TRANSFEROR: CREDIT SUISSE INTERNATIONAL, ATTN: GREGORY W. PONDER, 214 NORTH TRYON STREET, 15TH FLOOR, CHARLOTTE, NC 28255                 |
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| SILVER POINT CAPITAL FUND, L.P.                 | TRANSFEROR: ILLIQUIDX SECURITIES LIMITED, C/O SILVER POINT CAPITAL, L.P., ATTN: DAVID STEINMETZ, TWO GREENWICH PLAZA, GREENWICH, CT 06830 |
| SILVER POINT CAPITAL OFFSHORE MASTER FUND, L.P. | TRANSFEROR: ILLIQUIDX SECURITIES LIMITED, C/O SILVER POINT CAPITAL, L.P., ATTN: DAVID STEINMETZ, TWO GREENWICH PLAZA, GREENWICH, CT 06830 |
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| VARDE INVESTMENT PARTNERS, LP                   | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402         |

|                                |
|--------------------------------|
| <b>Total Creditor Count 60</b> |
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